

APPENDIX 10

SYMBOLS USED

1. General

| | |
|-----------|--|
| γ | weight per unit volume |
| n | porosity |
| ν | Poisson's ratio |
| μ | friction coefficient (= $\tan \varphi$) |
| E | Young's modulus |
| V | deformation modulus |
| φ | friction angle |
| c | cohesion |

2. Rock properties

| | |
|----------------|---|
| w | water content, dry weight basis |
| d | the diameter (in mm) of the actual specimen |
| σ_c | uniaxial compressive strength of intact rock material |
| σ_{c90} | uniaxial compressive strength measured at right angle to the schistosity or |
| σ_{c50} | uniaxial compressive strength for 50 mm diameter sample size |
| R_c | strength anisotropy ($\sigma_{c\max}/\sigma_{c\min}$) |
| $I_{a(50)}$ | strength anisotropy index |
| I_s | point load strength index |
| $I_{s(50)}$ | point load strength measured on standard 50 mm thick sample |
| k | correlation factor between compressive and point load strength ($k = \sigma_c/I_s$) |
| k_{50} | correlation factor related to 50 mm thick samples ($k_{50} = \sigma_{c50}/I_{s50}$) |
| F_i | rock foliation index, as given in Table A3-I. |
| f_A | rock anisotropy factor |
| f_W | rock weathering and alteration factor |
| c | the content of platy and prismatic minerals in % |

3. Jointing and block characteristics

| | |
|-------------|---|
| i | dilation angle for a joint plane |
| φ_j | friction angle for a joint |
| S_j | shear strength intercept ('cohesion') for a joint |
| JRC | joint roughness coefficient |
| JCS | the joint wall compressive strength (for fresh (unweathered) rocks $JCS = \sigma_c$) |
| u | undulation of joint plane |
| L_1 | direct measured length along a joint surface (Turk and Dearman, 1982) |
| L_2 | the trace length measured on joint surface (Turk and Dearman, 1982) |

| | |
|-----------------|---|
| γ | angle between joint sets |
| S | spacing of joints within a set |
| S_a | average joint spacing |
| S1, S2, S3 | spacing in various joint sets |
| α_2 | ratio between medium joint spacing and minimum spacing (S2/S1) |
| α_3 | ratio between maximum joint spacing and minimum spacing (S3/S1) |
| a_3 | length of the block |
| a_1 | thickness of the block. |
| β | block shape factor |
| β_e | estimated block shape factor from $\beta_e = \beta_o + 7(\alpha_3 - 1) = 27 + 7(a_3/a_1 - 1)$ |
| β_o | the lowest value of β , i.e. $\beta = 27$ for a cubical (equidimensional) blocks |
| Jv | volumetric joint count (= the number of joints per m^3) |
| wJd | weighted joint density |
| Ib | block size index (eq. block diameter) introduced by ISRM (1978). |
| Db | block diameter applied in rock support assessments ($= \sqrt[3]{Vb}$) |
| Db _e | eq. block diameter |
| Vb | block volume |
| Vb _o | block volume delimited by 3 joint sets intersecting at right angles |
| A | the size of the observation area (in m^2 , see Fig. A3-27) |
| na | number of joints on an observation area with length L_i |
| na* | number of joints adjusted for the length and size of observation area (see eq. (A3-32a)) |
| Na | 2-D joint frequency, i.e. the number of joints in a defined area, $Na = na/A$ |
| Nl | 1-D joint frequency, i.e. the number of joints intersecting a defined length along a line or borehole |
| Nr | the number of random joints in the observation area |
| N_α | number of joints intersected at an angle α |
| N_{90} | the number of joints with the same orientation which would have been observed at an intersection angle of 90° |
| n_j | the rating for joint sets applied in eq. (A3-20 and (A3-21) |
| ka | correlation factor from 2-D frequency measurement to 3-D (volume) (see Fig. A3-25c and eq. (A3-32b)) |
| kl | correlation factor from 1-D frequency measurement to 3-D (volume) (see Fig. A3-26 and eq. (A3-33)) |
| ca | $1/ka$ for 2-D observations on rock surfaces |
| cl | $1/kl$ for 1-D observations of scanlines or drill cores |
| L | length of the measured section along core or line, see Fig. A3-27 |
| δ | the angle between the observation plane (or drill core) and the individual joint, which is used in the weighted joint density method |
| f_i | factor for the angle between joint and observation plane (or $(1/\sin\delta_i)$) used in the weighted joint density measurement, as given in Table A3-31 |

4. Stresses and related parameters

| | |
|--------------------------------|--|
| σ_o | initial stress |
| $\sigma_1, \sigma_2, \sigma_3$ | principal stresses; $\sigma_1 > \sigma_2 > \sigma_3$ |
| σ_{min} | minimal principal stress |
| σ_{max} | maximum principal stress |
| σ_1' | the major principal effective stress at failure. |

| | |
|---------------------|--|
| σ_3' | the minor principal effective stress |
| σ_n | normal stress |
| p_z or p_v | vertical stress |
| p_h | horizontal stress |
| p_0 | in situ hydrostatic rock stress |
| σ_θ | tangential stress around underground openings |
| σ_r | radial stress around underground openings |
| $\sigma_{\theta w}$ | tangential wall stress |
| $\sigma_{\theta r}$ | tangential roof stress |
| τ | shear stress at failure |
| Φ_i' | instantaneous friction angle |
| c | cohesion |
| c_i' | instantaneous cohesive strength |
| k | ratio of horizontal and vertical stresses (p_h/p_v) |
| f | the gradient of line in the $-\varepsilon_3^p, \varepsilon_1^p$ diagram (Fig. 8-4) |

5. Refraction seismic properties and features

| | |
|-----------------------------|--|
| V_p | longitudinal (compressional) wave velocity |
| V_s | shear wave velocity |
| V_1 | sonic velocity in water |
| V_f | longitudinal sonic velocity measured in the field |
| V_l | longitudinal sonic velocity measured in the laboratory |
| V_{\parallel}, V_{\perp} | wave propagation parallel and across layers/schistosity |
| v | seismic velocity measured in the field |
| V_0 | basic seismic velocity (km/s) for intact rock under the same stress level as in the field (measured in the laboratory) |
| V_n | maximum or 'natural' velocity in crack- and joint-free rock under the same stress level as in the field. Natural velocities for some fresh rocks measured in the laboratory are shown in Table A3-33 |
| a, b | constants related to the local ground conditions (rock material, stress condition, jointing features etc.) for in-situ seismic velocities |
| ks | factor representing in-situ conditions in seismic velocity assessments |
| Nl_1, v_1 and Nl_2, v_2 | corresponding values of joints/m and in-situ longitudinal velocity, respectively, for two pairs of measurements |
| SVR | 'seismic velocity ratio' ($SVR = V_f/V_l$) |
| VI | sonic velocity index ($VI = SVR^2$) |

6. Rock mass properties and features

| | |
|---------------|--|
| σ_{cm} | the compressive strength the rock mass, |
| m | undisturbed material constant in the original Hoek-Brown failure criterion |
| m | disturbed material constant in the original Hoek-Brown failure criterion |
| m_r | material constants in the Hoek-Brown failure criterion for <i>broken</i> rock mass |
| m_i | material constants in the Hoek-Brown failure criterion for intact rock |
| m_b | constant in the modified Hoek-Brown failure criterion (1992) |
| s | undisturbed material constant in the original Hoek-Brown failure criterion |
| s | disturbed material constant in the original Hoek-Brown failure criterion |

| | |
|-------|--|
| s_r | material constants in the Hoek-Brown failure criterion for <i>broken</i> rock mass |
| a | constant in the modified Hoek-Brown failure criterion (1992) |
| C_g | the reduction factor which Hansagi named 'gefüge-factor' (joint factor) being " <i>representative for the jointed effect of a rock mass</i> ". |

6.1 Classification systems and parameters

| | |
|-----|--|
| RSR | rock structure rating |
| RMR | rock mass rating in the Geomechanics classification system |
| RQD | rock quality designation |
| Q | rock mass quality value in the Q classification system |
| Jn | factor for joint set number in the Q-system |
| Jr | factor for joint roughness in the Q-system |
| Ja | factor for joint alteration and filling in the Q-system |
| Jw | factor for joint water pressure or inflow in the Q-system |
| SRF | stress reduction factor in the Q-system |
| ESR | excavation support ratio in the Q-system |

6.2 Parameters and features in the Rock Mass index (RMi)

| | |
|----|--|
| jR | joint roughness factor, representing the small and large scale unevenness of the joint surface ($jR = jw \times js$) |
| js | joint smoothness factor (small scale evenness of joint surface) |
| jw | joint waviness factor (large scale planarity of joint wall) |
| jA | joint alteration factor, characterizing the strength of the joint surface |
| jL | joint length and continuity (joint termination) factor |
| jC | joint condition factor (combination of jR, jA and jL) |
| JP | jointing parameter (i.e. combination of jC and Vb) |
| D | factor in eq. (4-4) to calculate the jointing parameter [$JP (D = 0.37 \times jC^{-0.2})$] |

7. Parameters in the RMi rock support method

| | |
|---------------|---|
| z | the depth of the actual location below surface |
| Db | equivalent block diameter |
| CF | continuity factor for the rock mass ($CF = \text{tunnel size/block size}$) |
| C_g | competency factor for continuous ground ($C_g = RMi / \sigma_c$) |
| G_c | ground condition factor for discontinuous ground ($G_c = JP \times SL$) |
| SL | stress level factor used for discontinuous ground |
| Sr | size ratio ($Sr = CF \times Co$) |
| Co | orientation factor for joints and zones |
| C | gravity adjustment factor (of G_c) for tunnel walls (Milne and Potvin, 1992) |
| α | the strike between tunnel surface and discontinuity |
| β | the dip between tunnel roof (or floor) and discontinuity |
| Tz | the width (thickness) of weakness zone |
| Ts | the width (thickness) of singularity |
| σ_{cz} | compressive strength of rock material in weakness zone |
| JP_a | the jointing parameter of the rock masses adjacent to the weakness zone |
| G_{cz} | the ground condition factor for zones with $Tz < JP_a \times \sigma_{cz}$ |

| | |
|------------|---|
| Sr_z | size ratio ($Sr_z = Co \times Tz / Db$) for weakness zones for $Tz < Wt$ or $Tz < Wt$ |
| Gc_s | the ground condition for singularities |
| B | rock bolt |
| S | shotcrete |
| F | fibrecrete |
| Wt | width (span) of tunnel |
| Ht (or Hw) | height of tunnel (or wall height) |
| r_i | internal tunnel radius |
| A | roof factor for various excavation shapes (used by Hoek and Brown, 1980) |
| B | wall factor for various excavation shapes (used by Hoek and Brown, 1980) |

8. Parameters and features applied in the method for TBM penetration assessment

| | |
|-----------|--|
| E | factor for various groups of rocks |
| k_s | a TBM jointing factor (applied in the NTH method) |
| c_o | factor representing orientation of the main joint set relative to the tunnel axis |
| k_{eq} | 'equivalent TBM jointing factor' (applied in the NTH method) |
| k_{DRI} | adjustment factor of k_s to arrive at $k_{eq} = k_s \cdot k_{DRI}$ (applied in the NTH method) |
| M_{eq} | equivalent thrust per cutter (also applied in the NTH method) |
| M_B | thrust capacity per disc (also applied in the NTH method) |
| k_d | correction factor for cutter diameter in Fig. 7-7 (also applied in the NTH method) |
| k_a | correction factor for cutter spacing given in Fig. 7-8 (also applied in the NTH method) |
| I | TBM advance rate (m/h) |
| i_o | TBM penetration rate in mm per revolution $i_o = F \times k_{eq}^G$ |
| F | a factor in the expression for TBM penetration ($F = 0.0015 M_{eq}^{1.5}$) |
| G | an exponent in the expression for i_o ($G = 30 k_{eq}^{-0.5} \times M_{eq}^{-0.8}$ for $k_{eq} < 3.5$) |